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PTO/SB/21 (04-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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|--|--|------------------------|---------------------------|
| | | Application Number | 10/650,110 |
| | | Filing Date | August 26, 2003 |
| | | First Named Inventor | Zhu |
| | | Art Unit | 1646 |
| | | Examiner Name | Unknown |
| Total Number of Pages in This Submission | | Attorney Docket Number | 13131-0292 (44378/287574) |

ENCLOSURES (check all that apply)

| | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - 10 References - Return Receipt Postcard |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|------------------|
| Firm or Individual name | John K. McDonald |
| Signature | |
| Date | August 31, 2006 |

CERTIFICATE OF TRANSMISSION/MAILING

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| Typed or printed name | Lesley L. Andrew | | |
| Signature | | Date | August 31, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Yanhong Zhu et al.)
Serial No. 10/650,110)
Filed: August 26, 2003)
)
) Art Unit: 1646
)
) Examiner: Not yet assigned

For: **A Method of Treating and Preventing Alzheimer Disease Through Administration of Delipidated Protein and Lipoprotein Particles**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Lesley L. Andrew
Lesley L. Andrew

Sir:

The citation of information on the attached Form PTO/SB/08 is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each Non-U.S. Patent cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

This Supplemental Information Disclosure Statement is being submitted prior to the mailing of a first Office Action on the merits in this application. Accordingly, it is believed that no fees are due for consideration of this Supplemental Information Disclosure Statement. However, should any fees be due under 37 C.F.R. §1.16 or §1.17 or otherwise, the

Supplemental Information Disclosure Statement
U.S. Patent Application Serial No. 10/650,110

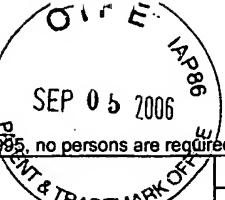
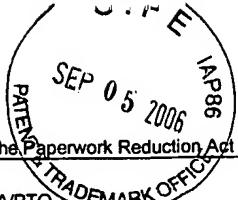
Commissioner is hereby authorized to charge these fees and any other additional fees which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 11-0855.

Respectfully submitted,



John K. McDonald, Ph.D.
Reg. No. 42,830

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Our Docket: 13131-0292 (44378/287574)



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Substitute for Form 1449/A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Sheet 1 of 2 Attorney Docket Number 13131-0292 (44378-287574)

Complete if Known

| | |
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| Application Number | 10/650,110 |
| Filing Date | August 26, 2003 |
| First Named Inventor | Yanhong Zhu |
| Group Art Unit | 1646 |
| Examiner Name | Unknown |
| Attorney Docket Number | 13131-0292 (44378-287574) |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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| Examiner Signature | | Date Considered | |
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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| | | Examiner Name | Unknown |
| | | Attorney Docket Number | 13131-0292 (44378-287574) |

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|-------------------|-----------------------|--|----------------|
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| | 10 | CLAY et al., "Formation of Apolipoprotein-Specific High Density Lipoprotein Particles from Lipid-Free Apolipoproteins A-I and A-II, Biochemical Society, 1999, pps. 445-451, Vol. 337. | |
| | 11 | DURBIN et al., "The Effect of Apolipoprotein A-II on the Structure and Function of Apolipoprotein A-I in a Homogeneous Reconstituted High Density Lipoprotein Particle, The Journal of Biological Chemistry", December 12, 1997, pps. 31333-31339, Volume 272, No. 50. | |
| | 12 | FEINBERG et al. AIDS Vaccine Models: Challenging Challenge Viruses, Nature Medicine, March 2002, pps. 208-210, Volume 8, No. 3:. | |
| | 13 | FEINSTONE, et al. "Inactivation of Hepatitis B Virus and Non-A, Non-B Hepatitis by Chloroform, Infection and Immunity, August 1983, p. 816-821, Vol. 41, No. 2. | |
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